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PATENT APPLICATION

Our File No.: 20020065.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : James Oliver Dolly et al : May 16, 2002  
S.N. : 10/049,967 : Art Unit unknown  
Filed : February 18, 2002  
For : ISOFORMS OF SNARE MOLECULES AND THE  
USES THEREOF IN MODULATION OF  
CELLULAR EXOCYTOSIS  
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Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Applicant(s) herein make available to the Patent and Trademark Office a copy of Form PTO-1449 which lists the following document(s), copies of which are enclosed. This Information Disclosure Statement is being filed in accordance with the following provision(s):

- ☒ [X] 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.
- ☐ [ ] 37 CFR 1.97(b)(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in the international application. No fee is required.
- ☐ [ ] 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.
- ☐ [ ] 37 CFR 1.97(c) After the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

- [ ] The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- [ ] The undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; or
- [ ] Enclosed is a check in the amount of \$200.00 for the fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265. Two copies of this sheet are enclosed.
- [ ] 37 CFR 1.97(d) After the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first, but before payment of the issue fee.
- [ ] Applicant(s) hereby petition the Commissioner of Patents and Trademarks to consider this information disclosure statement. Enclosed is a check in the amount of \$130.00 for the petition fee set forth in § 1.17(i)(1). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265. Two copies of this sheet are enclosed.

The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent

issuing from this application (M.P.E.P. 1302.12), and that the Examiner initial and return a copy of the form to evidence consideration of the documents.

Dated: May 16, 2002

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



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#### CERTIFICATE OF MAILING

I hereby certify that the foregoing Information Disclosure Statement Under Rule 1.56, Form PTO-1449 and a copy of each cited reference to be filed in connection with application Serial No. 10/049,967 of inventors, James O. Dolly et al, filed February 18, 2002, for "ISOFORMS OF SNARE MOLECULES AND THE USES THEREOF IN MODULATION OF CELLULAR EXOCYTOSIS" are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box DD, Washington, D.C. 20231 on May 16, 2002.



Barbara L. Davis  
Secretary to C. G. Mersereau

Date of Signature: May 16, 2002



(2-92)  
Sheet 1 of 4

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| Form PTO-1449<br><br>INFORMATION DISCLOSURE CITATION<br>IN AN APPLICATION<br><br>(Use several sheets if necessary) | Document Number<br>20020065.ORI   | Application Number<br>10/049,967 |
|  | Applicant<br>James O. Dolly et al |                                  |
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U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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| DOCUMENT<br>NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | Translation<br>YES   NO |  |
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Sheet 2 of 4

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